
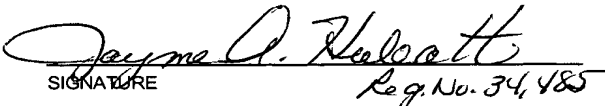


533 Rec'd PCT/PTO 22 JUL 2007

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FORM PTO-1390 (Modified) (REV 5-93)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER	
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371				029976-0101	
				U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5)	
INTERNATIONAL APPLICATION NO. PCT/EP00/08863		INTERNATIONAL FILING DATE 09/11/2000		10/070,611 PRIORITY DATE CLAIMED 09/09/1999	
TITLE OF INVENTION A MINIMAL TAU PEPTIDE FOR THE NUCLEATION OF PAIRED HELICAL FILAMENTS					
APPLICANT(S) FOR DO/EO/US Martin von Bergen, Jacek Biernat, Eva-Maria Mandelkow, and Eckhard Mandelkow					
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:					
<p>1. <input type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.</p> <p>2. <input checked="" type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.</p> <p>3. <input type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).</p> <p>4. <input type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19<sup>th</sup> month from the earliest claimed priority date.</p> <p>5. <input type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))</p> <p style="margin-left: 20px;"><input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).</p> <p style="margin-left: 20px;"><input type="checkbox"/> has been transmitted by the International Bureau.</p> <p style="margin-left: 20px;"><input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US)</p> <p>6. <input type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)).</p> <p>7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))</p> <p style="margin-left: 20px;"><input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau).</p> <p style="margin-left: 20px;"><input type="checkbox"/> have been transmitted by the International Bureau.</p> <p style="margin-left: 20px;"><input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</p> <p style="margin-left: 20px;"><input type="checkbox"/> have not been made and will not be made.</p> <p>8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p> <p>11. <input type="checkbox"/> Applicant claims small entity status under 37 CFR 1.27.</p>					
Items 12. to 17. below concern other document(s) or information included:					
<p>12. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</p> <p>13. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>14. <input type="checkbox"/> A FIRST preliminary amendment.</p> <p style="margin-left: 20px;"><input checked="" type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.</p> <p>15. <input type="checkbox"/> A substitute specification.</p> <p>16. <input type="checkbox"/> A change of power of attorney and/or address letter.</p> <p>17. <input checked="" type="checkbox"/> Other items or information: Response to Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures (1 page); Statement to Support Filing and Submission in Accordance with 37 CFR §§ 1.821-1.825 (1 page); Sequence Listing (16 pages); Computer Readable Format (CRF) of Sequence Listing; Return copy of Notification of Missing Requirements (2 pages).</p>					

U.S. APPLICATION NO. (if known, see 37 C.F.R. 1.50 10/070,611			INTERNATIONAL APPLICATION NO. PCT/EP00/08863			ATTORNEY'S DOCKET NUMBER 029976-0101		
18. <input checked="" type="checkbox"/> The following fees are submitted:						<b>CALCULATIONS</b>		PTO USE ONLY
Basic National Fee (37 CFR 1.492(a)(1)-(5): Search Report has been prepared by the EPO or JPO.....\$890.00								
International preliminary examination fee paid to USPTO (37 CFR 1.482).....\$710.00								
No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)) .....\$740.00								
Neither international preliminary examination fee (37 CFR 1.482) nor International search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$1,040.00								
International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) .....\$100.00								
ENTER APPROPRIATE BASIC FEE AMOUNT =						\$0.00		
Surcharge of \$130.00 for furnishing the oath or declaration later than 20 Months from the earliest claimed priority date (37 CFR 1.492(e))								
Claims	Number Filed		Included in Basic Fee		Extra Claims		Rate	
Total Claims	0	-	20	=	0	x	\$18.00	\$0.00
Independent Claims	0	-	3	=	0	x	\$84.00	\$0.00
Multiple dependent claim(s) (if applicable)						\$280.00		
TOTAL OF ABOVE CALCULATIONS =						\$0.00		
Reduction by 1/2 for filing by small entity, if applicable.						\$0.00		
SUBTOTAL =						\$0.00		
Processing fee of \$130.00 for furnishing English translation later the 20 months from the earliest claimed priority date (37 CFR 1.492(f). +								
TOTAL NATIONAL FEE =						\$0.00		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +						\$0.00		
TOTAL FEES ENCLOSED =						\$0.00		
						Amount to be: refunded \$		
						charged \$		
a. <input type="checkbox"/> A check in the amount of \$0.00 to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. <u>19-0741</u> in the amount of \$0.00 to the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>19-0741</u> . A duplicate copy of this sheet is enclosed.								
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.								
SEND ALL CORRESPONDENCE TO:  <b>FOLEY &amp; LARDNER</b> Customer Number: 22428  <b>22428</b> PATENT TRADEMARK OFFICE Telephone: (202) 672-5407 Facsimile: (202) 672-5399								
						 SIGNATURE NAME RICHARD C. PEET REGISTRATION NUMBER 35,792		

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Martin von Bergen et al.  
Title: A MINIMAL TAU PEPTIDE FOR THE NUCLEATION OF  
PAIRED HELICAL FILAMENTS  
Appl. No.: 10/070,611  
Filing Date: 07/22/2002  
Examiner: Unassigned  
Art Unit: Unassigned

**RESPONSE TO NOTICE TO COMPLY WITH REQUIREMENTS  
FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR  
AMINO ACID SEQUENCE DISCLOSURES**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the Notification of Missing Requirements mailed on May 20, 2002, enclosed are copies of the Sequence Listing and Statement to Support Filing and Submission in accordance with 37 C.F.R. §§ 1.821-1.825. Also enclosed is a diskette containing the Sequence Listing in computer readable form and an Amendment directing entry of the sequence listing into the application.

Please direct all correspondence to the undersigned attorney or agent at the address indicated below.

Respectfully submitted,

Date July 22, 2002

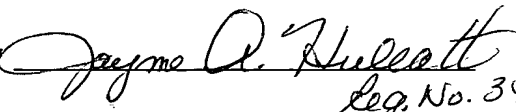
FOLEY & LARDNER  
Customer Number: 22428



22428

PATENT TRADEMARK OFFICE

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Facsimile: (202) 672-5399

By   
Reg. No. 34,485  
Richard C. Peet  
Attorney for Applicants  
Registration No. 35,792